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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/328,626	06/09/1999	STEVEN A. BOVE	245-111	7062

570 7590 04/07/2003

AKIN GUMP STRAUSS HAUSER & FELD L.L.P.  
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2005 MARKET STREET, SUITE 2200  
PHILADELPHIA, PA 19103-7013

EXAMINER

FELTEN, DANIEL S

ART UNIT	PAPER NUMBER
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3624

DATE MAILED: 04/07/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Interview Summary

Application No.  
09/328,626

Applicant(s)  
Bove et al

Examiner  
Daniel Felten

Art Unit  
3624

All participants (applicant, applicant's representative, PTO personnel):

(1) Daniel Felten

(3) Jeanne Gill

(2) Clark Jablon (Reg No. 35,039)

(4) Matthew Walker

Date of Interview Apr 3, 2003

Type: a) ☐ Telephonic b) ☐ Video Conference  
c) ☒ Personal (copy is given to 1) ☐ applicant 2) ☒ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No. If yes, brief description:

Claim(s) discussed: 1

Identification of prior art discussed:

Edesess (US 5,884,287) Alden (US 5,918,888)

Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments:

a discussion of why col. 2, ll. 35- col. 3, ll. 40 of Edesess and col. 5, lines 15+ of Edesses does not disclose or suggest on how to provide specific sell recommendations based upon asset allocation and preferred domain factors.

It was also discussed Alden (US 5,918,888) Col. 36, ll. 32-43, ll. 16

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

i) ☐ It is not necessary for applicant to provide a separate record of the substance of the interview (if box is checked).

Unless the paragraph above has been checked, THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached

VINCENT MILLIN  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100

Daniel J Felten  
DANIEL FELTEN  
A.U. 3624  
BUSINESS METHODS

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required